

# REPORT

## HIGHLIGHTS

**AUDIT OF THE INFORMATION SECURITY OF THE  
COLORADO OPERATIONS RESOURCE ENGINE (CORE)  
SYSTEM**

IT PERFORMANCE AUDIT, APRIL 2016

GOVERNOR'S OFFICE OF INFORMATION TECHNOLOGY  
(OIT)  
DEPARTMENT OF PERSONNEL &  
ADMINISTRATION/OFFICE OF THE STATE CONTROLLER  
(DPA/OSC)

### AUDIT CONCERN

The Governor's Office of Information Technology needs to hold the CORE contractor accountable for compliance with Colorado Information Security Policies.

### KEY FACTS AND FINDINGS

- OIT is not holding the Contractor accountable for compliance with the Colorado Information Security Policies as required by security policy and as directed by the State Chief Information Security Officer.

### BACKGROUND

Governor's Office of Information Technology (OIT):

- Centralized the management of Executive Branch information technology resources, including IT staff.
- Is responsible for documenting policies, procedures, and guidelines for IT services.
- Contracted with CGI, a third-party service provider, for the CORE application and supporting services.

Department of Personnel & Administration/Office of the State Controller (DPA/OSC):

- OSC is a division of DPA that provides statewide accounting, purchasing, and contracting services including providing daily support of CORE, the State's enterprise financial system.
- DPA has the lead role for providing oversight of CGI.

### OUR RECOMMENDATIONS

The Governor's Office of Information Technology should:

- Amend the contract as necessary to clearly and unambiguously state that the contractor is required to comply with all current and future updated State of Colorado Information Security Policies.
- Ensure it has a process and effective mechanism in place to assess CGI for compliance with the Security Policies including ensuring that CGI's policies and procedures for CORE comply with the Security Policies.
- Amend the CGI contract as necessary to assign DPA/OSC primary responsibility for contract oversight, while stipulating that OIT should continue to ensure compliance with the Security Policies.

FOR FURTHER INFORMATION ABOUT THIS REPORT, CONTACT THE OFFICE OF THE STATE AUDITOR  
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