



OFFICE OF THE STATE AUDITOR



Legislative Audit Committee January 21, 2021 Minutes

January 21, 2021

A meeting of the Legislative Audit Committee (LAC) convened on January 21, 2021, at 9:03 a.m. in the Colorado State Capitol, Old Supreme Court Chambers. Representative Dafna Michaelson Jenet called the meeting to order. Roll was called with the following results:

Committee Members

Representative Rod Bockenfeld, Senator Julie Gonzales (arrived 9:05 a.m.), Representative Colin Larson, Representative Dylan Roberts, Senator Robert Rodriguez, Senator Jim Smallwood, Senator Rob Woodward, Representative Dafna Michaelson Jenet (Chair)

The following Office of the State Auditor (OSA) staff and others attended:

OSA

Dianne E. Ray, State Auditor; Michelle Colin, Deputy State Auditor; Jenny Atchley, Dana Berry, Vicky Lane, Jenny Page, Stefanie Winzeler

DIANNE E. RAY, CPA
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STATE AUDITOR

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Other Attendees

Regional Transportation District

Angie Rivera-Malpiede, Board Chair

Debra A. Johnson, General Manager and CEO

Michael Ford, Chief Operating Officer

Doug MacLeod, Acting Chief Financial Officer and Controller

9:03 a.m.

Representative Michaelson Jenet provided opening remarks regarding extraordinary meetings and audit request letters.

9:07 a.m.

General Business

Election of Vice Chair

Senator Rodriguez made a motion to nominate Senator Smallwood as Vice Chair. Senator Woodward seconded the motion, and the motion passed unanimously.

9:08 a.m.

Regional Transportation District, Performance Audit, December 2020

Representative Bockenfeld made a motion to release the *Regional Transportation District, Performance Audit, December 2020*. Senator Smallwood seconded the motion, and the motion passed unanimously.

Ms. Colin introduced self and staff.

Ms. Johnson (*Regional Transportation District*) introduced self and Board chair.

OSA staff presented an overview of the report.

Recommendations 1 - 5 were reviewed, discussed, and agreed to by the Regional Transportation District (RTD). Discussion ensued regarding audit scope, ADA mandated services, supervisory management, exit interview process, employee turnover analysis, operator schedules, and audit follow-up.

10:45 a.m.

General Business

Minutes

Senator Smallwood made a motion to approve the December 7, 2020, LAC hearing minutes. Representative Larson seconded the motion, and the motion passed unanimously.

Senator Rodriguez made a motion to approve the December 15, 2020, LAC hearing minutes. Senator Smallwood seconded the motion, and the motion passed unanimously.

10:47 a.m.

Legislation

Ms. Colin provided a summary of LAC sponsored bills for the Colorado Department of Transportation and the Colorado State Fair. Senator Woodward and Representative Roberts volunteered as co-sponsors for the Colorado Department of Transportation bill. Senator Gonzales and Representative Roberts volunteered as co-sponsors for the Colorado State Fair bill.

Representative Larson volunteered as co-prime for the Adult Protective Services bill. Senator Smallwood made a motion to approve the changes to the Adult Protective Services bill. Representative Bockenfeld seconded the motion. A roll call vote was conducted, and the motion passed unanimously.

11:01 a.m.

Audit Request

Extraordinary Session – Administration of Pandemic Funds

Senator Smallwood made a motion to approve the requested preliminary research time. Senator Rodriguez seconded the motion, and the motion passed unanimously.

11:02 a.m.

Reports Released Without a Hearing

Senator Smallwood made a motion to release the *Reports Released Without a Hearing*. Representative Roberts seconded the motion, and the motion passed unanimously.

Auditor Ray presented an overview of the reports.

11:04 a.m.

LAC Statutory Responsibilities

Auditor Ray announced that she would not seek another appointment. Discussion ensued regarding the process for hiring a new state auditor. The Chair determined that all Members wanted to be involved in the search. Members agreed to conduct a nationwide month-long search, to allow the State Auditor's office to manage the process of advertising and going through the initial screening process to only advance candidates that meet the minimum qualifications, to allow remote options for initial interviews with the preference for in-person final interviews, and to ensure that candidates should be in good standing with the Accountancy Board.

Hearing adjourned at 11:31 a.m.

Minutes submitted by:

Jayne Watson

Executive Assistant, Office of the State Auditor