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Violation Tracker Industry Summary Page

Industry: pharmaceuticals
 Penalty Total since 2000: \$32,577,428,239
 Number of Records: 459

24 violations per year
1,714,601,486
1.75 billion per year

Note: The total includes only those entries matched to a parent company. The industry designation is the primary one for the parent's operations overall. The penalty dollar total above is adjusted to account for the fact that the list of entries below may include both agency records and settlement announcements for the same case; or else a penalty covering multiple locations may be listed in the individual records for each of the facilities. Duplicate penalty amounts are marked with an asterisk in the individual records list below.

Top 10 Parent Companies	Total Penalty \$	Number of Records
Pfizer	\$4,421,846,055	47
GlaxoSmithKline	\$3,967,997,982	13
Johnson & Johnson	\$3,370,247,328	27
Merck	\$3,023,907,640	42
Abbott Laboratories	\$2,338,807,423	25
Teva Pharmaceutical Industries	\$2,184,113,465	26
Eli Lilly	\$1,482,270,176	13
Takeda Pharmaceutical	\$1,281,527,170	5
Novartis	\$1,221,184,386	22
Allergan	\$1,098,851,374	13

Individual Penalty Records:

Click on the company or penalty amount for more information on each case. Click on the parent name to reach the summary page for that parent company.
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Company	Parent	Primary Offense Type	Year	Agency	Penalty Amount *
GlaxoSmithKline LLC	GlaxoSmithKline	off-label or unapproved promotion of medical products	2012	FDA	\$3,000,000,000
Pfizer Inc.	Pfizer	off-label or unapproved promotion of medical products	2009	FDA	\$2,300,000,000
Johnson & Johnson	Johnson & Johnson	off-label or unapproved promotion of medical products	2013	FDA	\$2,200,000,000
Abbott Laboratories Inc.	Abbott Laboratories	off-label or unapproved promotion of medical products	2012	FDA	\$1,500,000,000

<u>Company</u>	<u>Parent</u>	<u>Primary Offense Type</u>	<u>Year</u>	<u>Agency</u>	<u>Penalty Amount</u>
<u>Eli Lilly and Company</u>	<u>Eli Lilly</u>	off-label or unapproved promotion of medical products	2009	FDA	\$1,415,000,000
<u>Cephalon, Inc.</u>	<u>Teva Pharmaceutical Industries</u>	consumer protection violation	2015	FTC	\$1,200,000,000
<u>Merck, Sharp & Dohme</u>	<u>Merck</u>	off-label or unapproved promotion of medical products	2011	FDA	\$950,000,000
<u>TAP Pharmaceutical Products Inc.</u>	<u>Takeda Pharmaceutical</u>	False Claims Act	2001	DOJ_CIVIL	\$875,000,000
<u>Pfizer, Inc.</u>	<u>Pfizer</u>	False Claims Act	2016	DOJ_CIVIL	\$784,600,000
<u>Amgen Inc.</u>	<u>Amgen</u>	off-label or unapproved promotion of medical products	2012	FDA	\$762,000,000
<u>SB Pharmco Puerto Rico Inc.</u>	<u>GlaxoSmithKline</u>	drug or medical equipment safety violation	2010	FDA	\$750,000,000
<u>Serono, S.A.</u>	<u>Merck KGaA (EMD)</u>	off-label or unapproved promotion of medical products	2005	FDA	\$704,000,000
<u>Merck & Company</u>	<u>Merck</u>	False Claims Act	2008	DOJ_CIVIL	\$650,000,000
<u>Allergan Inc.</u>	<u>Allergan</u>	off-label or unapproved promotion of medical products	2010	FDA	\$600,000,000
<u>Abbott Laboratories and CG Nutritionals</u>	<u>Abbott Laboratories</u>	False Claims Act	2003	DOJ_CIVIL	\$600,000,000
<u>AstraZeneca LP</u>	<u>AstraZeneca</u>	off-label or unapproved promotion of medical products	2010	FDA	\$520,000,000
<u>Bristol-Myers Squibb Company</u>	<u>Bristol-Myers Squibb</u>	off-label or unapproved promotion of medical products	2007	DOJ_CIVIL	\$515,000,000
<u>Ranbaxy USA Inc.</u>	<u>Sun Pharmaceuticals</u>	drug or medical equipment safety violation	2013	FDA	\$500,000,000
<u>Schering-Plough Corporation</u>	<u>Merck</u>	drug or medical equipment safety violation	2002	DOJ_CIVIL	\$500,000,000
<u>Wyeth Pharmaceuticals Inc.</u>	<u>Pfizer</u>	off-label or unapproved promotion of medical products	2013	FDA	\$490,900,000
<u>Mylan Inc.</u>	<u>Mylan</u>	False Claims Act	2017	DOJ_CIVIL	\$465,000,000
<u>Purdue Frederick Company Inc.</u>	<u>Purdue Pharma</u>	False Claims Act	2007	USAO	\$461,900,000
<u>Schering-Plough Corporation</u>	<u>Merck</u>	off-label or unapproved promotion of medical products	2006	USAO	\$435,000,000
<u>Warner-Lambert</u>	<u>Pfizer</u>	off-label or unapproved promotion of medical products	2004	FDA	\$430,000,000
<u>Cephalon Inc</u>	<u>Teva Pharmaceutical Industries</u>	off-label or unapproved promotion of medical products	2008	FDA	\$425,000,000
<u>Novartis Pharmaceuticals Corporation</u>	<u>Novartis</u>	off-label or unapproved promotion of medical products	2010	FDA	\$422,500,000
<u>Janssen Pharmaceuticals, Inc.</u>	<u>Johnson & Johnson</u>	off-label or unapproved promotion of medical products	2013	USAO	\$410,000,000
<u>Novartis Pharmaceuticals</u>	<u>Novartis</u>	kickbacks and bribery	2015	USAO	\$390,000,000
<u>Actelion Pharmaceuticals US, Inc.</u>	<u>Johnson & Johnson</u>	False Claims Act	2018	DOJ_CIVIL	\$360,000,000
<u>AstraZeneca Pharmaceuticals LP</u>	<u>AstraZeneca</u>	False Claims Act	2003	DOJ_CIVIL	\$354,900,000
<u>Shire Pharmaceuticals LLC</u>	<u>Takeda Pharmaceutical</u>	False Claims Act	2017	DOJ_CIVIL	\$350,000,000
<u>Schering-Plough Corporation</u>	<u>Merck</u>	kickbacks and bribery	2004	DOJ_CIVIL	\$345,000,000
<u>Bristol-Myers Squibb</u>	<u>Bristol-Myers Squibb</u>	fraud	2005	USAO	\$300,000,000
<u>Teva Pharmaceutical Industries Ltd</u>	<u>Teva Pharmaceutical Industries</u>	Foreign Corrupt Practices Act	2016	DOJ_CRIMINAL	\$283,177,348
<u>Dev Inc.</u>	<u>Mylan</u>	False Claims Act	2010	DOJ_CIVIL	\$280,000,000
<u>Roxane Laboratories Inc.</u>	<u>Hikma Pharmaceuticals</u>	False Claims Act	2010	DOJ_CIVIL	\$280,000,000
<u>Celgene Corp.</u>	<u>Celgene</u>	False Claims Act	2017	USAO	\$280,000,000

<u>Company</u>	<u>Parent</u>	<u>Primary Offense Type</u>	<u>Year</u>	<u>Agency</u>	<u>Penalty Amount</u>
Teva Pharmaceutical Industries Limited	Teva Pharmaceutical Industries	Foreign Corrupt Practices Act	2016	SEC	\$236,000,000
United Therapeutics Corporation	United Therapeutics	False Claims Act	2017	DOJ_CIVIL	\$210,000,000
Elan Corporation PLC	Perrigo	off-label or unapproved promotion of medical products	2010	FDA	\$203,000,000
Endo Health Solutions Inc.	Endo International	off-label or unapproved promotion of medical products	2014	FDA	\$192,700,000
Aventis Pharmaceuticals Inc.	Sanofi	False Claims Act	2007	DOJ_CIVIL	\$190,000,000
Novartis Corporation	Novartis	employment discrimination	2010	private lawsuit-federal	\$175,000,000
Forest Pharmaceuticals Inc.	Allergan	off-label or unapproved promotion of medical products	2011	FDA	\$164,000,000
GlaxoSmithKline	GlaxoSmithKline	False Claims Act	2005	DOJ_CIVIL	\$150,000,000
Bristol-Myers Squibb Company	Bristol-Myers Squibb	accounting fraud or deficiencies	2004	SEC	\$150,000,000
Forest Pharmaceuticals Inc.	Allergan	False Claims Act	2010	USAO	\$149,000,000
Abbott Laboratories Inc.	Abbott Laboratories	False Claims Act	2010	DOJ_CIVIL	\$126,500,000
Wamir Chilcott PLC	Allergan	off-label or unapproved promotion of medical products	2015	FDA	\$125,000,000
King Pharmaceuticals	Pfizer	False Claims Act	2005	DOJ_CIVIL	\$124,000,000
Mylan Pharmaceuticals and UDL Laboratories	Mylan	False Claims Act	2009	DOJ_CIVIL	\$118,000,000
Sanofi-Aventis U.S. Inc.	Sanofi	False Claims Act	2012	DOJ_CIVIL	\$109,000,000
Mylan Laboratories, Inc.	Mylan	consumer protection violation	2000	FTC	\$100,000,000
Mallinckrodt ARD Inc	Mallinckrodt	price-fixing or anti-competitive practices	2017	FTC	\$100,000,000
Novartis	Novartis	wage and hour violation	2012	private lawsuit-federal	\$99,000,000
Aventis Pharmaceutical Inc.	Sanofi	False Claims Act	2009	DOJ_CIVIL	\$95,500,000
Boehringer Ingelheim Pharmaceuticals Inc.	Boehringer Ingelheim	off-label or unapproved promotion of medical products	2012	FDA	\$95,000,000
Scios Inc.	Johnson & Johnson	off-label or unapproved promotion of medical products	2011	FDA	\$85,000,000
Depuy Orthopaedics Inc.	Johnson & Johnson	False Claims Act	2007	USAO	\$84,700,000
Ortho-McNeil Pharmaceutical LLC	Johnson & Johnson	off-label or unapproved promotion of medical products	2010	FDA	\$81,000,000
Novartis Vaccines & Diagnostics Inc. and Novartis Pharmaceuticals Corporation	Novartis	off-label or unapproved promotion of medical products	2010	FDA	\$72,500,000
Genentech Inc.	Roche	False Claims Act	2016	DOJ_CIVIL	\$67,000,000
OSI Pharmaceuticals LLC	Astellas Pharma	False Claims Act	2016	DOJ_CIVIL	\$67,000,000
Novo Nordisk Inc.	Novo AVS	False Claims Act	2017	DOJ_CIVIL	\$58,650,000
Shire Pharmaceuticals LLC	Takeda Pharmaceutical	off-label or unapproved promotion of medical products	2014	FDA	\$56,500,000
Pfizer Inc.	Pfizer	drug or medical equipment safety violation	2012	FDA	\$55,000,000
Salix Pharmaceuticals Inc.	Bausch Health	kickbacks and bribery	2016	USAO	\$54,000,000
Merck & Co., Inc.	Merck	benefit plan administrator violation	2011	private lawsuit-federal	\$49,500,000
Pfizer Corporation	Pfizer	False Claims Act	2002	DOJ_CIVIL	\$49,000,000
Johnson & Johnson	Johnson & Johnson	Foreign Corrupt Practices Act	2011	SEC	\$48,600,000
SmithKline Beecham	GlaxoSmithKline	False Claims Act	2003	DOJ_CIVIL	\$47,000,000
AstraZeneca LP	AstraZeneca	False Claims Act	2015	DOJ_CIVIL	\$46,500,000

Company	Parent	Primary Offense Type	Year	Agency	Penalty Amount
Par Pharmaceutical Companies Inc.	Endo International	off-label or unapproved promotion of medical products	2013	FDA	\$45,000,000
Serono Laboratories Inc.	Merck KGaA (EMD)	False Claims Act	2011	DOJ_CIVIL	\$44,300,000
Alpharma Inc.	Pfizer	False Claims Act	2010	DOJ_CIVIL	\$42,500,000
Kos Pharmaceuticals	AbbVie	kickbacks and bribery	2010	FDA	\$41,500,000
Bristol-Myers Squibb	Bristol-Myers Squibb	benefit plan administrator violation	2005	private lawsuit-federal	\$41,220,000
Daiichi Sankyo Inc.	Daiichi Sankyo	False Claims Act	2015	DOJ_CIVIL	\$39,000,000
Endo Pharmaceuticals Inc. and Vintage Pharmaceuticals, LLC d/b/a Qualitest Pharmaceuticals	Endo International	drug or medical equipment safety violation	2015	USAO	\$39,000,000
Forest Laboratories and Forest Pharmaceuticals	Allergan	False Claims Act	2016	DOJ_CIVIL	\$38,000,000
Eli Lilly and Company	Eli Lilly	off-label or unapproved promotion of medical products	2005	FDA	\$36,000,000
Mallinckrodt LLC	Mallinckrodt	Controlled Substances Act violation	2017	DEA	\$35,000,000
Aegerion Pharmaceuticals Inc.	Novelion Therapeutics	drug or medical equipment safety violation	2017	DOJ_CIVIL	\$35,000,000
UCB Inc.	UCB	off-label or unapproved promotion of medical products	2011	FDA	\$34,000,000
Alere Inc.	Abbott Laboratories	False Claims Act	2018	DOJ_CIVIL	\$33,200,000
Genzyme Corporation	Sanofi	drug or medical equipment safety violation	2015	FDA	\$32,587,439
Eli Lilly and Company	Eli Lilly	Foreign Corrupt Practices Act	2012	SEC	\$29,398,734
Ethex Corporation	KV Pharmaceutical	drug or medical equipment safety violation	2010	FDA	\$27,600,000
Teva Pharmaceuticals USA Inc. and MAX LLC	Teva Pharmaceutical Industries	False Claims Act	2014	DOJ_CIVIL	\$27,600,000
Schering-Plough	Merck	False Claims Act	2004	DOJ_CIVIL	\$27,000,000
Pfizer Inc.	Pfizer	Foreign Corrupt Practices Act	2012	SEC	\$26,339,944
Sanofi	Sanofi	Foreign Corrupt Practices Act	2018	SEC	\$25,200,000
McNeil-PPC Inc.	Johnson & Johnson	drug or medical equipment safety violation	2015	FDA	\$25,000,000
Novo Nordisk Inc.	Novo A/S	off-label or unapproved promotion of medical products	2011	FDA	\$25,000,000
Novartis AG	Novartis	Foreign Corrupt Practices Act	2016	SEC	\$25,000,000
Abbott Laboratories	Abbott Laboratories	off-label or unapproved promotion of medical products	2018	USAO	\$25,000,000
Amgen Inc.	Amgen	False Claims Act	2013	DOJ_CIVIL	\$24,900,000
Biovail Pharmaceuticals Inc.	Bausch Health	kickbacks and bribery	2009	USAO	\$24,647,876
Pfizer, Inc.	Pfizer	False Claims Act	2018	DOJ_CIVIL	\$23,850,000
Roussel Uclaf S.A.	Sanofi	drug or medical equipment safety violation	2001	USAO	\$23,193,600
Genzyme Corp.	Sanofi	False Claims Act	2013	DOJ_CIVIL	\$22,280,000

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Note: our penalty amounts include not only fines and monetary settlements but also costs such as supplementary environmental projects or consumer relief that companies are often compelled to undertake as part of settlements. If the settlement includes fines paid to state governments, those are included as well.

Company	Parent	Primary Offense Type	Year	Agency	Penalty Amount
Genzyme Corp.	Sanofi	False Claims Act	2013	DOJ_CIVIL	\$22,280,000
Johnson & Johnson	Johnson & Johnson	Foreign Corrupt Practices Act	2011	DOJ_CRIMINAL	\$21,400,000
GlaxoSmithKline plc	GlaxoSmithKline	kickbacks and bribery	2016	SEC	\$20,000,000
Jazz Pharmaceuticals Inc.	Jazz Pharmaceuticals	off-label or unapproved promotion of medical products	2007	USAO	\$20,000,000
Clovis Oncology Inc.	Clovis Oncology	investor protection violation	2018	SEC	\$20,000,000
Sanofi-Pasteur	Sanofi	False Claims Act	2017	DOJ_CIVIL	\$19,868,194
Merck	Merck	environmental violation	2007	EPA	\$19,750,000
Pharmacia & Upjohn Company Inc.	Pfizer	kickbacks and bribery	2007	USAO	\$19,700,000
Wweth LLC	Pfizer	Foreign Corrupt Practices Act	2012	SEC	\$18,876,624
Dey Inc.	Mylan	False Claims Act	2003	DOJ_CIVIL	\$18,500,000
Acclarant Inc.	Johnson & Johnson	False Claims Act	2016	DOJ_CIVIL	\$18,000,000
KV Pharmaceutical Company	KV Pharmaceutical	False Claims Act	2011	DOJ_CIVIL	\$17,000,000
St. Jude Medical Inc.	Abbott Laboratories	kickbacks and bribery	2011	DOJ_CIVIL	\$16,000,000
Sanofi-Aventis U.S. LLC	Sanofi	employment discrimination	2010	private lawsuit-federal	\$15,360,000
Pfizer H.C.P. Corporation	Pfizer	Foreign Corrupt Practices Act	2012	DOJ_CRIMINAL	\$15,000,000
Lifescan Inc.	Johnson & Johnson	False Claims Act	2001	DOJ_CIVIL	\$15,000,000
Elan Corporation plc	Perrigo	accounting fraud or deficiencies	2005	SEC	\$15,000,000
Allergan Inc.	Allergan	investor protection violation	2017	SEC	\$15,000,000
Pharmacia & Upjohn Company LLC	Pfizer	kickbacks and bribery	2007	USAO	\$15,000,000
Pfizer Inc.	Pfizer	off-label or unapproved promotion of medical products	2011	FDA	\$14,500,000
Bristol-Myers Squibb	Bristol-Myers Squibb	Foreign Corrupt Practices Act	2015	SEC	\$14,000,000
Merck KGaA	Merck KGaA (EMD)	price-fixing or anti-competitive practices	2000	DOJ_ANTITRUST	\$14,000,000
Alere Inc.	Abbott Laboratories	accounting fraud or deficiencies	2017	SEC	\$13,023,865
Sandoz Inc.	Novartis	HHS civil monetary penalties	2015	HHSOIG	\$12,640,000
Schering-Plough Corporation	Merck	benefit plan administrator violation	2012	private lawsuit-federal	\$12,250,000
SciClone Pharmaceuticals	SciClone Pharmaceuticals	Foreign Corrupt Practices Act	2016	SEC	\$12,000,000
Hoechst AG	Sanofi	price-fixing or anti-competitive practices	2003	DOJ_ANTITRUST	\$12,000,000
Eisai Inc.	Eisai	off-label or unapproved promotion of medical products	2010	FDA	\$11,000,000
Dava Pharmaceuticals Inc.	Endo International	False Claims Act	2012	DOJ_CIVIL	\$11,000,000
Merck & Co., Inc.	Merck	benefit plan administrator violation	2012	private lawsuit-federal	\$10,400,000
Biovall Corporation	Bausch Health	accounting fraud or deficiencies	2008	SEC	\$10,000,000
Medicis Pharmaceutical Corporation	Bausch Health	off-label or unapproved promotion of medical products	2007	DOJ_CIVIL	\$9,800,000
Novo Nordisk A/S	Novo A/S	Foreign Corrupt Practices Act	2009	SEC	\$9,030,149
Novo Nordisk A/S	Novo A/S	kickbacks and bribery	2009	DOJ_CRIMINAL	\$9,000,000
Schering-Plough Corporation	Merck	benefit plan administrator violation	2010	private lawsuit-federal	\$8,500,000
Daiichi Sankyo	Daiichi Sankyo	employment discrimination	2016	private lawsuit-federal	\$8,200,000

<u>Company</u>	<u>Parent</u>	<u>Primary Offense Type</u>	<u>Year</u>	<u>Agency</u>	<u>Penalty Amount</u>
<u>Alcon Pharmaceuticals Ltd. and Alcon Laboratories, Inc.</u>	<u>Novartis</u>	export control violation	2016	BIS	\$8,100,000
<u>Novartis Corporation and Alcon Laboratories</u>	<u>Novartis</u>	employment discrimination	2016	private lawsuit-federal	\$8,000,000
<u>AstraZeneca LP</u>	<u>AstraZeneca</u>	False Claims Act	2015	DOJ_CIVIL	\$7,900,000
<u>Alcon Laboratories, Inc</u>	<u>Novartis</u>	economic sanction violation	2016	OFAC	\$7,617,150
<u>Galena Biopharma Inc.</u>	<u>SELLAS Life Sciences Group</u>	False Claims Act	2017	USAO	\$7,550,000
<u>Cephalon Inc.</u>	<u>Teva Pharmaceutical Industries</u>	False Claims Act	2015	DOJ_CIVIL	\$7,500,000
<u>Astellas Pharma US Inc.</u>	<u>Astellas Pharma</u>	False Claims Act	2014	DOJ_CIVIL	\$7,300,000
<u>Medicis Pharmaceutical</u>	<u>Bausch Health</u>	employment discrimination	2016	private lawsuit-federal	\$7,150,000
<u>Abbott Laboratories</u>	<u>Abbott Laboratories</u>	employment discrimination	2016	private lawsuit-federal	\$6,750,000
<u>Ortho-McNeil Pharmaceutical LLC</u>	<u>Johnson & Johnson</u>	drug or medical equipment safety violation	2010	USAO	\$6,140,000
<u>Merck and Company</u>	<u>Merck</u>	environmental violation	2008	EPA	\$6,075,000
<u>Inspire Pharmaceuticals Inc.</u>	<u>Merck</u>	off-label or unapproved promotion of medical products	2015	USAO	\$5,900,000
<u>ICN Pharmaceuticals, Inc.</u>	<u>Bausch Health</u>	fraud	2001	USAO	\$5,600,000
<u>Abbott Laboratories</u>	<u>Abbott Laboratories</u>	False Claims Act	2013	DOJ_CIVIL	\$5,475,000
<u>Dr. Reddy's Laboratories Inc.</u>	<u>Dr. Reddy's Laboratories</u>	drug or medical equipment safety violation	2018	DOJ_CIVIL	\$5,000,000
<u>Synthes USA</u>	<u>Johnson & Johnson</u>	wage and hour violation	2016	private lawsuit-federal	\$5,000,000
<u>AstraZeneca PLC</u>	<u>AstraZeneca</u>	kickbacks and bribery	2016	SEC	\$4,325,000
<u>Aegerion Pharmaceuticals</u>	<u>Novelion Therapeutics</u>	investor protection violation	2017	SEC	\$4,100,000
<u>AVEO Pharmaceuticals Inc.</u>	<u>AVEO Pharmaceuticals</u>	investor protection violation	2016	SEC	\$4,000,000
<u>Otsuka American Pharmaceutical Inc.</u>	<u>Otsuka Pharmaceutical</u>	off-label or unapproved promotion of medical products	2008	FDA	\$4,000,000
<u>Forest Laboratories, Inc.</u>	<u>Allergan</u>	employment discrimination	2018	private lawsuit-federal	\$4,000,000
<u>Johnson & Johnson Medical, Inc.</u>	<u>Johnson & Johnson</u>	False Claims Act	2001	DOJ_CIVIL	\$3,900,000
<u>Perrigo Company</u>	<u>Perrigo</u>	price-fixing or anti-competitive practices	2004	FTC	\$3,750,000
<u>St. Jude Medical Inc.</u>	<u>Abbott Laboratories</u>	False Claims Act	2010	DOJ_CIVIL	\$3,725,000
<u>St. Jude Medical Inc.</u>	<u>Abbott Laboratories</u>	False Claims Act	2012	DOJ_CIVIL	\$3,650,000
<u>Bristol-Myers Squibb</u>	<u>Bristol-Myers Squibb</u>	environmental violation	2008	EPA	\$3,650,000
<u>Mallinckrodt LLC</u>	<u>Mallinckrodt</u>	False Claims Act	2013	USAO	\$3,500,000
<u>Allergan Inc.</u>	<u>Allergan</u>	False Claims Act	2016	USAO	\$3,500,000
<u>Ortho McNeil Pharmaceutical</u>	<u>Johnson & Johnson</u>	False Claims Act	2009	DOJ_CIVIL	\$3,400,000
<u>Advanced Neuromodulation Systems, Inc.</u>	<u>Abbott Laboratories</u>	HHS civil monetary penalties	2007	HHSOIG	\$2,950,000
<u>Cypress Pharmaceutical Inc.</u>	<u>Pernix Therapeutics</u>	off-label or unapproved promotion of medical products	2012	FDA	\$2,800,000
<u>Amgen, Inc.</u>	<u>Amgen</u>	benefit plan administrator violation	2017	private lawsuit-federal	\$2,750,000
<u>EMD Millipore Corporation</u>	<u>Merck KGaA (EMD)</u>	environmental violation	2013	EPA	\$2,681,500
<u>EMD MILLIPORE CORP</u>	<u>Merck KGaA (EMD)</u>	environmental violation	2013	EPA	(*) \$2,681,500
<u>AstraZeneca Pharmaceuticals</u>	<u>AstraZeneca</u>	False Claims Act	2009	DOJ_CIVIL	\$2,600,000

Company	Parent	Primary Offense Type	Year	Agency	Penalty Amount *
<u>Synthes Inc.</u>	<u>Johnson & Johnson</u>	drug or medical equipment safety violation	2010	USAO	\$669,800
<u>Mallinckrodt Inc.</u>	<u>Mallinckrodt</u>	employment discrimination	2003	private lawsuit-federal	\$650,561
<u>Hoffmann-La Roche, Inc.</u>	<u>Roche</u>	employment discrimination	2012	private lawsuit-federal	\$545,833
<u>MILLIPORE CORP</u>	<u>Merck KGaA (EMD)</u>	environmental violation	2010	EPA	\$526,500
<u>Johnson & Johnson</u>	<u>Johnson & Johnson</u>	Controlled Substances Act violation	2008	USAO	\$511,000
<u>Regeneron Pharmaceuticals, Inc.</u>	<u>Regeneron Pharmaceuticals</u>	excise tax violation	2014	ATTTB	\$506,035
<u>Schering-Plough Corporation</u>	<u>Merck</u>	Foreign Corrupt Practices Act	2004	SEC	\$500,000
<u>MERCK SHARP & DOHME CORPORATION 511650000100228</u>	<u>Merck</u>	environmental violation	2005	EPA	\$500,000
<u>ABBOTT LABS - N. CHICAGO PLANT</u>	<u>Abbott Laboratories</u>	environmental violation	2006	EPA	\$475,672
<u>Micrus Corporation</u>	<u>Johnson & Johnson</u>	Foreign Corrupt Practices Act	2005	DOJ_CRIMINAL	\$450,000
<u>MALLINCKRODT, LLC</u>	<u>Mallinckrodt</u>	environmental violation	2013	EPA	\$449,740
<u>Hospira Inc.</u>	<u>Pfizer</u>	employment discrimination	2015	OFCCP	\$400,000
<u>EMD Millipore Corp.</u>	<u>Merck KGaA (EMD)</u>	environmental violation	2017	EPA	\$385,000
<u>EMD MILLIPORE CORPORATION</u>	<u>Merck KGaA (EMD)</u>	environmental violation	2017	EPA	(*) \$385,000
<u>Block Drug Company Incorporated</u>	<u>GlaxoSmithKline</u>	wage and hour violation	2011	WHD	\$352,773
<u>AstraZeneca</u>	<u>AstraZeneca</u>	employment discrimination	2008	private lawsuit-state	\$350,000
<u>BOEHRINGER INGELHEIM VETMEDICA, INC</u>	<u>Eli Lilly</u>	environmental violation	2012	EPA	(*) \$338,475
<u>ELI LILLY AND COMPANY</u>	<u>Eli Lilly</u>	environmental violation	2011	EPA	\$337,500
<u>GlaxoSmithKline LLC</u>	<u>GlaxoSmithKline</u>	environmental violation	2014	EPA	\$317,550
<u>GLAXOSMITHKLINE, LLC</u>	<u>GlaxoSmithKline</u>	environmental violation	2014	EPA	(*) \$317,550
<u>Boehringer Ingelheim Vetmedica, Inc.</u>	<u>Eli Lilly</u>	environmental violation	2011	EPA	\$300,000
<u>Amgen, Inc.</u>	<u>Amgen</u>	employment discrimination	2018	OFCCP	\$283,701
<u>Roche Diagnostics Corporation</u>	<u>Roche</u>	employment discrimination	2018	OFCCP	\$261,782
<u>Schering-Plough Products, LLC</u>	<u>Merck</u>	environmental violation	2011	EPA	\$260,000
<u>Parxel International, LLC</u>	<u>Parxel International</u>	labor relations violation	2012	NLRB	\$253,000
<u>AstraZeneca</u>	<u>AstraZeneca</u>	employment discrimination	2011	OFCCP	\$250,000
<u>Therasense, Inc.</u>	<u>Abbott Laboratories</u>	labor relations violation	2003	NLRB	\$236,827
<u>Sandoz, Inc.</u>	<u>Novartis</u>	HHS civil monetary penalties	2011	HHSOIG	\$230,000
<u>Mylan</u>	<u>Mylan</u>	wage and hour violation	2014	private lawsuit-federal	\$225,000
<u>Abbott Laboratories</u>	<u>Abbott Laboratories</u>	employment discrimination	2016	private lawsuit-federal	\$210,000
<u>Galena Biopharma, Inc.</u>	<u>SELLAS Life Sciences Group</u>	accounting fraud or deficiencies	2017	SEC	\$200,000
<u>Alcon Research, Ltd.</u>	<u>Novartis</u>	wage and hour violation	2011	WHD	\$199,443
<u>GlaxoSmithKline Vaccines</u>	<u>GlaxoSmithKline</u>	environmental violation	2014	EPA	\$172,900
<u>GLAXOSMITHKLINE VACCINES</u>	<u>GlaxoSmithKline</u>	environmental violation	2014	EPA	(*) \$172,900
<u>Chiron Corporation Ltd</u>	<u>Novartis</u>	economic sanction violation	2004	OFAC	\$168,500
<u>ABBOTT LABS-N CHICAGO PLANT</u>	<u>Abbott Laboratories</u>	environmental violation	2004	EPA	\$162,595
<u>American Pharmaceutical Partners, Inc.</u>	<u>Celgene</u>	wage and hour violation	2004	WHD	\$160,203
<u>research organics</u>	<u>Merck KGaA (EMD)</u>	environmental violation	2003	EPA	\$159,672

Company	Parent	Primary Offense Type	Year	Agency	Penalty Amount
Genentech	Roche	wage and hour violation	2011	private lawsuit-state	\$2,600,000
Alpharma, Inc.	Pfizer	price-fixing or anti-competitive practices	2004	FTC	\$2,500,000
Bristol-Myers Squibb	Bristol-Myers Squibb	environmental violation	2009	EPA	(*) \$2,328,154
Teva Pharmaceuticals USA Inc.	Teva Pharmaceutical Industries	environmental violation	2013	EPA	\$2,250,000
TEVA PHARMACEUTICALS USA INC	Teva Pharmaceutical Industries	environmental violation	2013	EPA	(*) \$2,250,000
King Pharmaceuticals LLC	Pfizer	environmental violation	2013	EPA	\$2,200,000
KING PHARMACEUTICALS	Pfizer	environmental violation	2013	EPA	(*) \$2,200,000
Bristol-Myers Squibb Company	Bristol-Myers Squibb	consumer protection violation	2009	FTC	\$2,100,000
Genentech	Roche	wage and hour violation	2012	private lawsuit-state	\$2,100,000
Teva Pharmaceuticals USA, Inc.	Teva Pharmaceutical Industries	employment discrimination	2019	private lawsuit-federal	\$2,092,634
Wyeth	Pfizer	benefit plan administrator violation	2013	private lawsuit-federal	\$2,000,000
Pharmavite LLC	Otsuka Pharmaceutical	wage and hour violation	2017	private lawsuit-state	\$1,925,000
Sigma Aldrich Research Biochemicals Inc.	Merck KGaA (EMD)	export control violation	2002	BIS	\$1,760,000
Novo Nordisk Inc.	Novo A/S	False Claims Act	2011	USAO	\$1,725,000
Merck	Merck	environmental violation	2011	EPA	\$1,500,000
Osiris Therapeutics	Osiris Therapeutics	accounting fraud or deficiencies	2017	SEC	\$1,500,000
MERCK & CO., INC.	Merck	environmental violation	2011	EPA	(*) \$1,500,000
Pfizer	Pfizer	employment discrimination	2009	private lawsuit-federal	\$1,365,003
Advanced Sterilization Products, a division of Ethicon Inc.	Johnson & Johnson	drug or medical equipment safety violation	2013	FDA	\$1,200,000
Abbott Laboratories	Abbott Laboratories	employment discrimination	2007	private lawsuit-federal	\$1,176,576
Bristol-Myers Squibb	Bristol-Myers Squibb	drug or medical equipment safety violation	2007	DOJ_ANTITRUST	\$1,000,000
Schering-Plough Corporation	Merck	investor protection violation	2003	SEC	\$1,000,000
ICN Pharmaceuticals, Inc.	Bausch Health	accounting fraud or deficiencies	2002	SEC	\$1,000,000
Pfizer Inc.	Pfizer	environmental violation	2008	EPA	\$975,000
PFIZER GLOBAL MANUFACTURING	Pfizer	environmental violation	2008	EPA	\$975,000
BOEHRINGER INGELHEIM VETMEDICA INC	Eli Lilly	environmental violation	2011	EPA	\$900,000
Pfizer Pharmaceuticals LLC	Pfizer	environmental violation	2014	EPA	\$728,000
Pfizer Pharmaceuticals, LLC	Pfizer	environmental violation	2014	EPA	(*) \$728,000
Pharmacia & Upjohn Company	Pfizer	environmental violation	2005	EPA	\$676,250
Synthes Inc.	Johnson & Johnson	drug or medical equipment safety violation	2010	USAO	\$669,800

<u>Company</u>	<u>Parent</u>	<u>Primary Offense Type</u>	<u>Year</u>	<u>Agency</u>	<u>Penalty Amount</u>
<u>Pfizer Pharmaceuticals LLC</u>	<u>Pfizer</u>	environmental violation	2005	EPA	\$55,000
<u>SIGMA ALDRICH MFG LLC</u>	<u>Merck KGaA (EMD)</u>	environmental violation	2007	EPA	\$55,000
<u>SCHERING-PLOUGH PRODUCTS LLC</u>	<u>Merck</u>	environmental violation	2001	EPA	\$54,100
<u>Bristol Myers Squibb Manufacturing Company</u>	<u>Bristol-Myers Squibb</u>	environmental violation	2008	EPA	\$52,000
<u>Novartis Pharmaceuticals</u>	<u>Novartis</u>	employment discrimination	2013	OFCCP	\$51,594
<u>Boehringer Ingelheim Pharmaceutical, Inc.</u>	<u>Boehringer Ingelheim</u>	labor relations violation	2004	NLRB	\$50,000
<u>Biogen Idec, Inc.</u>	<u>Biogen Idec</u>	wage and hour violation	2009	WHD	\$49,600
<u>Abbott Laboratories Puerto Rico, Inc.</u>	<u>Abbott Laboratories</u>	wage and hour violation	2009	WHD	\$49,045
<u>Schering Plough Products</u>	<u>Merck</u>	environmental violation	2002	EPA	\$49,000
<u>Wyeth Rouses Point</u>	<u>Pfizer</u>	environmental violation	2008	EPA	\$44,500
<u>EMD Chemicals, Inc.</u>	<u>Merck KGaA (EMD)</u>	export control violation	2007	BIS	\$44,000
<u>COVDIEN DBA MALLINCKRODT INC.</u>	<u>Mallinckrodt</u>	workplace safety or health violation	2010	OSHA	\$41,613
<u>SCHERING-PLOUGH HEALTHCARE PRODUCTS, INC. (SB)</u>	<u>Merck</u>	environmental violation	2007	EPA	\$40,950
<u>Wyeth Biopharma</u>	<u>Pfizer</u>	wage and hour violation	2005	WHD	\$40,187
<u>Amgen, Inc.</u>	<u>Amgen</u>	labor relations violation	2011	NLRB	\$40,000
<u>LILLY DEL CARIBE INC</u>	<u>Eli Lilly</u>	environmental violation	2000	EPA	\$39,000
<u>Wyeth-Ayerst Pharmaceuticals</u>	<u>Pfizer</u>	environmental violation	2004	EPA	\$37,000
<u>PFIZER PHARMACEUTICAL (WARNER LAMBERT) 721450001000059</u>	<u>Pfizer</u>	environmental violation	2004	EPA	\$37,000
<u>Pharmacia and Upjohn Company LLC</u>	<u>Pfizer</u>	environmental violation	2017	EPA	\$34,170
<u>SERGEANT'S PET CARE PRODUCTS</u>	<u>Perrigo</u>	aviation safety violation	2015	FAA	\$33,750
<u>Merck & Co, Inc.</u>	<u>Merck</u>	environmental violation	2005	EPA	\$33,700
<u>PHIBRO-TECH INC.</u>	<u>Phibro Animal Health</u>	workplace safety or health violation	2000	OSHA	\$31,600
<u>PFIZER GLOBAL MANUFACTURING 090110003800170</u>	<u>Pfizer</u>	environmental violation	2010	EPA	\$31,265
<u>Phibrochem, Inc.</u>	<u>Phibro Animal Health</u>	export control violation	2012	BIS	\$31,000
<u>SERGEANT'S PET CARE PRODUCTS, INC.</u>	<u>Perrigo</u>	environmental violation	2013	EPA	\$30,880

Company	Parent	Primary Offense Type	Year	Agency	Penalty Amount
Phibro-Tech, Inc.	Phibro Animal Health	environmental violation	2008	EPA	\$151,603
Advanced Sterilization Products	Johnson & Johnson	environmental violation	2014	EPA	\$136,800
Advanced Sterilization Products, Div of Ethicon	Johnson & Johnson	environmental violation	2014	EPA	\$136,800 (*)
ABBOTT LABS - N. CHICAGO PLANT	Abbott Laboratories	environmental violation	2011	EPA	\$135,756
HOSPIRA, INC.	Pfizer	workplace safety or health violation	2004	OSHA	\$132,300
Meral (IA) LLC	Merck	environmental violation	2011	EPA	\$130,000
Malinckrodt, Inc.	Malinckrodt	nuclear safety violation	2000	NRC	\$125,000
ACETO Corporation	Acelo	Controlled Substances Act violation	2018	USAO	\$120,000
Acelis Kadian, LLC	Allergan	Medicare Coverage Gap Discount Program violation	2013	CMS	\$116,703
XenPort	Auror Pharmaceuticals	Medicare Coverage Gap Discount Program violation	2017	CMS	\$116,503
CAMBREX CHARLES CITY, INC.	Cambrex	environmental violation	2006	EPA	\$106,749
Janssen Pharmaceutical (SB)	Johnson & Johnson	environmental violation	2010	EPA	\$105,000
Merck & Co., Inc.	Merck	labor relations violation	2008	NLRB	\$100,000
STEEL FOREST LLC	Allergan	environmental violation	2005	EPA	\$100,000
ABBVIE LTD	Abbvie	environmental violation	2016	EPA	\$100,000
ACTAVIS ELIZABETH LLC	Teva Pharmaceutical Industries	environmental violation	2016	EPA	\$99,800
SEARLE LTD	Pfizer	environmental violation	2002	EPA	\$95,000
CHIRON CORPORATION	Novartis	workplace safety or health violation	2000	OSHA	\$90,140
LILY DEL CARIBE, INC.	Eli Lilly	environmental violation	2000	EPA	\$90,000
Roche Molecular Systems, Inc.	Roche	environmental violation	2011	EPA	\$90,000
GLAXOSMITH KLINE	GlaxoSmithKline	environmental violation	2014	EPA	\$90,000
Velmed Pharmaceutical, Inc.	Allergan	wage and hour violation	2004	WHD	\$83,871
Phibro Animal Health Corporation	Phibro Animal Health	environmental violation	2006	EPA	\$82,467
MALINCKRODT, INC.	Malinckrodt	environmental violation	2004	EPA	\$80,160
Wyeth Pharmaceuticals Company, Inc.	Pfizer	environmental violation	2010	EPA	\$77,000
Cytex Corporation	Cytex	investor protection violation	2017	SEC	\$75,000
TEVA PHARMACEUTICALS USA INC	Teva Pharmaceutical Industries	environmental violation	2006	EPA	\$75,000
TEVA PHARMACEUTICALS USA INC	Teva Pharmaceutical Industries	environmental violation	2003	EPA	\$70,000
MERCK SHARP & DOHME CORPORATION	Merck	environmental violation	2012	EPA	\$69,274
Lilly Del Caribe, Inc.	Eli Lilly	environmental violation	2003	EPA	\$68,992
Boehringer Ingelheim Vetmedica, Inc.	Eli Lilly	environmental violation	2012	EPA	\$68,475
Bristol-Myers Squibb Company	Bristol-Myers Squibb	environmental violation	2008	EPA	\$66,770
BARR LABORATORIES INC.	Teva Pharmaceutical Industries	workplace safety or health violation	2006	OSHA	\$65,250
MERCK & CO.	Merck	aviation safety violation	2015	FAA	\$65,000
MERCK & CO., INC.	Merck	aviation safety violation	2015	FAA	\$65,000
CST Behring LLC	CST	environmental violation	2015	EPA	\$62,780
Teva Pharmaceuticals USA, Inc.	Teva Pharmaceutical Industries	environmental violation	2003	EPA	\$61,158
GLAXO SMITH KLINE	GlaxoSmithKline	aviation safety violation	2014	FAA	\$59,500

<u>Company</u>	<u>Parent</u>	<u>Primary Offense Type</u>	<u>Year</u>	<u>Agency</u>	<u>Penalty Amount</u>
LAFAYETTE PHARMACEUTICALS, INC.	Mallinckrodt	environmental violation	2001	EPA	\$17,600
ELI LILLY AND COMPANY-CLINTON LABS 18165000900056	Eli Lilly	environmental violation	2002	EPA	\$17,000
Advanced Medical Optics	Abbott Laboratories	labor relations violation	2004	NLRB	\$16,800
MERCK SHARP & DOHME CORPORATION 511650000100361	Merck	environmental violation	2010	EPA	\$16,706
ALCON LABORATORIES, INC.	Novartis	workplace safety or health violation	2016	OSHA	\$16,480
Bristol-Myers Squibb Medical Imaging, Inc.	Bristol-Myers Squibb	export control violation	2004	BIS	\$16,200
MERCK SHARP & DOHME CORP (RAHWAY) 340394171200309	Merck	environmental violation	2009	EPA	\$16,000
CAMBRIDGE MAJOR LABORATORIES INC	Alcami	motor vehicle safety violation	2005	FMCSA	\$15,820
PAR STERILE PRODUCTS LLC	Endo International	environmental violation	2002	EPA	\$15,750
TEVA PHARMACEUTICALS INC.	Teva Pharmaceutical Industries	workplace safety or health violation	2002	OSHA	\$15,300
Perrigo Company	Perrigo	Family and Medical Leave Act	2014	WHD	\$15,022
MILLIPORE CORPORATION	Merck KGaA (EMD)	aviation safety violation	2010	FAA	\$15,000
BOEHRINGER INGELHEIM PHARMACEUTICALS	Boehringer Ingelheim	aviation safety violation	2014	FAA	\$15,000
ZOETIS, LLC	Zoetis	workplace safety or health violation	2015	OSHA	\$14,700
ACTAVIS ELIZABETH LLC	Teva Pharmaceutical Industries	environmental violation	2003	EPA	\$14,200
BARR LABORATORIES, INC.	Teva Pharmaceutical Industries	workplace safety or health violation	2002	OSHA	\$14,000
ACTAVIS ELIZABETH LLC	Teva Pharmaceutical Industries	environmental violation	2003	EPA	\$14,000
NOVARTIS PRODUCTS	Novartis	environmental violation	2000	EPA	\$13,200
ROCHE DIAGNOSTICS OPERATIONS INC.	Roche	environmental violation	2001	EPA	\$13,200
EMD CHEMICALS INCORPORATED	Merck KGaA (EMD)	motor vehicle safety violation	2005	FMCSA	\$13,170
Aceto Agricultural Chemicals Corporation	Aceto	environmental violation	2005	EPA	\$13,000
MALLINCKRODT, INC.	Mallinckrodt	environmental violation	2000	EPA	\$12,500
ELI LILLY & COMPANY - TIPPECANOE LABS	Eli Lilly	environmental violation	2009	EPA	\$12,000
ABBOTT CARDIOVASCULAR SYSTEMS	Abbott Laboratories	environmental violation	2011	EPA	\$12,000
AMGEN	Amgen	environmental violation	2006	EPA	\$11,600
AAIPHARMA SERVICES CORP.	Alcami	workplace safety or health violation	2013	OSHA	\$11,550
Schering Plough Products, LLC	Merck	environmental violation	2010	EPA	\$11,500
STERILMED, INC.	Johnson & Johnson	workplace safety or health violation	2006	OSHA	\$11,278
Pfizer Inc.	Pfizer	railroad safety violation	2002	FRA	\$11,250
GENENTECH	Roche	workplace safety or health violation	2003	OSHA	\$11,240
SIGMA-ALDRICH	Merck KGaA (EMD)	aviation safety violation	2013	FAA	\$11,000
PHIBRO-TECH, INC.	Phibro Animal Health	workplace safety or health violation	2000	OSHA	\$10,950
Merck and Company, Inc.	Merck	labor relations violation	2009	NLRB	\$10,710
Alere San Diego Inc.	Abbott Laboratories	wage and hour violation	2017	WHD	\$10,572
SIGMA-ALDRICH CORPORATION	Merck KGaA (EMD)	workplace safety or health violation	2012	OSHA	\$10,115

<u>Company</u>	<u>Parent</u>	<u>Primary Offense Type</u>	<u>Year</u>	<u>Agency</u>	<u>Penalty Amount</u>
<u>SERGEANT'S PET CARE PRODUCTS, INC.</u>	<u>Perrigo</u>	environmental violation	2013	EPA	<u>\$30,880</u>
<u>ACTAVIS INC.</u>	<u>Allergan</u>	environmental violation	2015	EPA	<u>\$30,800</u>
<u>ALCON RESEARCH, LTD</u>	<u>Novartis</u>	workplace safety or health violation	2010	OSHA	<u>\$30,300</u>
<u>SIGMA-ALDRICH</u>	<u>Merck KGaA (EMD)</u>	aviation safety violation	2011	FAA	<u>\$30,000</u>
<u>ABBVIE LTD</u>	<u>AbbVie</u>	environmental violation	2006	EPA	<u>\$30,000</u>
<u>Alpharma Inc. (Chicago Heights)</u>	<u>Zoetis</u>	environmental violation	2006	EPA	<u>\$29,737</u>
<u>PHARMACIA & UPJOHN CARIBE</u>	<u>Pfizer</u>	environmental violation	2001	EPA	<u>\$27,168</u>
<u>Fort Dodge Animal Health</u>	<u>Zoetis</u>	economic sanction violation	2004	OFAC	<u>\$26,956</u>
<u>NOVOZYMES BIOLOGICALS, INC</u>	<u>Novo A/S</u>	environmental violation	2009	EPA	<u>\$26,797</u>
<u>ALPHARMA ANIMAL HEALTH COMPANY</u>	<u>Zoetis</u>	workplace safety or health violation	2001	OSHA	<u>\$25,600</u>
<u>ABBOTT LABORATORIES</u>	<u>Abbott Laboratories</u>	environmental violation	2008	EPA	<u>\$25,033</u>
<u>Amphastar Pharmaceuticals</u>	<u>Amphastar Pharmaceuticals</u>	wage and hour violation	2011	CA-LCO	<u>\$25,000</u>
<u>WYETH PHARMACEUTICALS COMPANY - OTC</u>	<u>Pfizer</u>	environmental violation	2000	EPA	<u>\$25,000</u>
<u>KV Pharmaceutical Company</u>	<u>KV Pharmaceutical</u>	wage and hour violation	2011	WHD	<u>\$24,920</u>
<u>Pfizer Pharmaceuticals</u>	<u>Pfizer</u>	environmental violation	2010	EPA	<u>\$24,850</u>
<u>Amgen Inc.</u>	<u>Amgen</u>	environmental violation	2007	EPA	<u>\$24,368</u>
<u>Aldrich Chemical Company LLC</u>	<u>Merck KGaA (EMD)</u>	excise tax violation	2017	ATTTB	<u>\$22,500</u>
<u>PFIZER INC / GROTON SITE</u>	<u>Pfizer</u>	environmental violation	2005	EPA	<u>\$22,500</u>
<u>MERCK SHARP & DOHME CORP (RAHWAY) 340394171200254</u>	<u>Merck</u>	environmental violation	2004	EPA	<u>\$21,500</u>
<u>MEDIMMUNE DISTRIBUTION LLC</u>	<u>AstraZeneca</u>	environmental violation	2005	EPA	<u>\$21,125</u>
<u>MERCK SHARP & DOHME - KENILWORTH</u>	<u>Merck</u>	environmental violation	2003	EPA	<u>\$21,000</u>
<u>HI TECH PHARMACAL CO., INC.</u>	<u>Akorn Inc.</u>	environmental violation	2001	EPA	<u>\$20,500</u>
<u>PFIZER GLOBAL MANUFACTURING 090110003800129</u>	<u>Pfizer</u>	environmental violation	2006	EPA	<u>\$20,113</u>
<u>PHARMAVITE</u>	<u>Otsuka Pharmaceutical</u>	workplace safety or health violation	2005	OSHA	<u>\$20,055</u>
<u>Pfizer Pharmaceuticals Ltd.</u>	<u>Pfizer</u>	environmental violation	2004	EPA	<u>\$20,025</u>
<u>Watson Laboratories, Inc.</u>	<u>Allergan</u>	environmental violation	2004	EPA	<u>\$20,000</u>
<u>Amgen Manufacturing, Limited</u>	<u>Amgen</u>	environmental violation	2006	EPA	<u>\$20,000</u>
<u>BRISTOL-MYERS SQUIBB CO</u>	<u>Bristol-Myers Squibb</u>	environmental violation	2011	EPA	<u>\$20,000</u>
<u>OLD SAW MILL HOLDINGS LLC</u>	<u>Regeneron Pharmaceuticals</u>	environmental violation	2005	EPA	<u>\$20,000</u>
<u>PLIVA, INC.</u>	<u>Teva Pharmaceutical Industries</u>	workplace safety or health violation	2003	OSHA	<u>\$19,775</u>
<u>BRISTOL - MYERS SQUIBB MEDICAL IMAGING INC</u>	<u>Bristol-Myers Squibb</u>	environmental violation	2009	EPA	<u>\$19,538</u>
<u>SHIRE PLC</u>	<u>Takeda Pharmaceutical</u>	workplace safety or health violation	2016	OSHA	<u>\$19,070</u>
<u>CAMBRIDGE MAJOR LABORATORIES INC</u>	<u>Alcami</u>	motor vehicle safety violation	2006	FMCSA	<u>\$18,560</u>
<u>AMPHASTAR PHARMACEUTICALS INC</u>	<u>Amphastar Pharmaceuticals</u>	workplace safety or health violation	2002	OSHA	<u>\$18,500</u>
<u>ALPHARMA ANIMAL HEALTH COMPANY</u>	<u>Zoetis</u>	workplace safety or health violation	2001	OSHA	<u>\$18,300</u>
<u>PHARMAVITE CORP</u>	<u>Otsuka Pharmaceutical</u>	workplace safety or health violation	2001	OSHA	<u>\$18,300</u>
<u>IMPAX LABORATORIES, INC</u>	<u>Impax Laboratories</u>	workplace safety or health violation	2004	OSHA	<u>\$18,000</u>
<u>St. Jude Medical Inc</u>	<u>Abbott Laboratories</u>	wage and hour violation	2009	WHD	<u>\$17,662</u>

<u>Company</u>	<u>Parent</u>	<u>Primary Offense Type</u>	<u>Year</u>	<u>Agency</u>	<u>Penalty Amount</u>
<u>BRISTOL-MYERS SQUIBB CO</u>	<u>Bristol-Myers Squibb</u>	environmental violation	2001	EPA	<u>\$7,600</u>
<u>PHIBRO-TECH INC</u>	<u>Phibro Animal Health</u>	workplace safety or health violation	2003	OSHA	<u>\$7,560</u>
<u>ALDRICH CHEMICAL</u>	<u>Merck KGaA (EMD)</u>	aviation safety violation	2016	FAA	<u>\$7,500</u>
<u>BIOGEN IDEC, INC.</u>	<u>Biogen Idec</u>	workplace safety or health violation	2014	OSHA	<u>\$7,500</u>
<u>PHIBRO TECH</u>	<u>Phibro Animal Health</u>	environmental violation	2004	EPA	<u>\$7,500</u>
<u>KING PHARMACEUTICALS</u>	<u>Pfizer</u>	workplace safety or health violation	2001	OSHA	<u>\$7,200</u>
<u>PFIZER, INC.</u>	<u>Pfizer</u>	workplace safety or health violation	2009	OSHA	<u>\$7,155</u>
<u>ZOETIS, LLC</u>	<u>Zoetis</u>	workplace safety or health violation	2015	OSHA	<u>\$7,140</u>
<u>DURAMED PHARMACEUTICALS, INC.</u>	<u>Teva Pharmaceutical Industries</u>	workplace safety or health violation	2001	OSHA	<u>\$7,000</u>
<u>MALLINCKRODT INC.</u>	<u>Mallinckrodt</u>	workplace safety or health violation	2000	OSHA	<u>\$7,000</u>
<u>BAUSCH AND LOMB PHARMACEUTICALS, INC.</u>	<u>Bausch Health</u>	workplace safety or health violation	2000	OSHA	<u>\$7,000</u>
<u>SANOPI PASTEUR INC.</u>	<u>Sanofi</u>	workplace safety or health violation	2014	OSHA	<u>\$7,000</u>
<u>Biogen Idec Inc.</u>	<u>Biogen Idec</u>	wage and hour violation	2005	WHD	<u>\$6,934</u>
<u>Phibro-Tech Inc.</u>	<u>Phibro Animal Health</u>	railroad safety violation	2006	FRA	<u>\$6,800</u>
<u>HOSPIRA</u>	<u>Pfizer</u>	workplace safety or health violation	2006	OSHA	<u>\$6,750</u>
<u>SCHWARZ PHARMA MANUFACTURING, INC.</u>	<u>UCB</u>	workplace safety or health violation	2010	OSHA	<u>\$6,760</u>
<u>DuPont Pharmaceuticals Company</u>	<u>Bristol-Myers Squibb</u>	economic sanction violation	2004	OFAC	<u>\$6,600</u>
<u>ALDRICH CHEMICAL</u>	<u>Merck KGaA (EMD)</u>	aviation safety violation	2010	FAA	<u>\$6,500</u>
<u>Novartis Animal Health US, Inc.</u>	<u>Novartis</u>	environmental violation	2006	EPA	<u>\$6,500</u>
<u>EISAI INC.</u>	<u>Eisai</u>	workplace safety or health violation	2010	OSHA	<u>\$6,376</u>
<u>CAMBREX CHARLES CITY, INC.</u>	<u>Cambrex</u>	workplace safety or health violation	2007	OSHA	<u>\$6,375</u>
<u>TEVA PHARMACEUTICALS USA INC</u>	<u>Teva Pharmaceutical Industries</u>	environmental violation	2005	EPA	<u>\$6,200</u>
<u>KREMERS URBAN PHARMACEUTICALS INC.</u>	<u>Lannett Co.</u>	environmental violation	2015	EPA	<u>\$6,188</u>
<u>SERGEANT'S PET CARE PRODUCTS, INC. (SB)</u>	<u>Petigo</u>	environmental violation	2010	EPA	<u>\$6,100</u>
<u>NOVARTIS CONSUMER HEALTH INC.</u>	<u>Novartis</u>	workplace safety or health violation	2013	OSHA	<u>\$6,078</u>
<u>Celgene Corporation</u>	<u>Celgene</u>	environmental violation	2013	EPA	<u>\$6,035</u>
<u>Schering Corporation</u>	<u>Merck</u>	Family and Medical Leave Act	2006	WHD	<u>\$6,000</u>
<u>MALLINCKRODT INC. DBA COVDIEN 295100001700083</u>	<u>Mallinckrodt</u>	environmental violation	2011	EPA	<u>\$6,000</u>
<u>MERCK SHARP & DOHME CORP (RAHWAY) 340394171200314</u>	<u>Merck</u>	environmental violation	2009	EPA	<u>\$6,000</u>
<u>MALLINCKRODT INC</u>	<u>Mallinckrodt</u>	environmental violation	2006	EPA	<u>\$6,000</u>
<u>E.R. SQUIBB & SONS, LLC.</u>	<u>Bristol-Myers Squibb</u>	environmental violation	2013	EPA	<u>\$5,950</u>
<u>ExecuPharm, Inc.</u>	<u>Parexel International</u>	Family and Medical Leave Act	2017	WHD	<u>\$5,900</u>
<u>ANIKA THERAPEUTICS INC.</u>	<u>Anika Therapeutics</u>	workplace safety or health violation	2013	OSHA	<u>\$5,800</u>

<u>Company</u>	<u>Parent</u>	<u>Primary Offense Type</u>	<u>Year</u>	<u>Agency</u>	<u>Penalty Amount</u>
<u>VALEANT PHARMACEUTICALS INTERNATIONAL, INC.</u>	<u>Bausch Health</u>	benefit plan administrator violation	2016	EBSA	<u>\$10,001</u>
<u>BIOVAIL AMERICAS CORP.</u>	<u>Bausch Health</u>	benefit plan administrator violation	2012	EBSA	<u>\$10,001</u>
<u>CHEROKEE PHARMACEUTICALS LLC</u>	<u>Merck</u>	benefit plan administrator violation	2011	EBSA	<u>\$10,001</u>
<u>NOVARTIS PHARMACEUTICALS CORPORATION</u>	<u>Novartis</u>	benefit plan administrator violation	2006	EBSA	<u>\$10,001</u>
<u>Mallinckrodt</u>	<u>Mallinckrodt</u>	railroad safety violation	2007	FRA	<u>\$10,000</u>
<u>Merck Sharp & Dohme Quimica de Puerto Rico</u>	<u>Merck</u>	environmental violation	2007	EPA	<u>\$10,000</u>
<u>MERCK SHARP & DOHME CORP (RAHWAY) 340394171200189</u>	<u>Merck</u>	environmental violation	2000	EPA	<u>\$10,000</u>
<u>MERCK & CO INC</u>	<u>Merck</u>	environmental violation	2016	EPA	<u>\$10,000</u>
<u>SUN PHARMACEUTICAL INDUSTRIES, INC.</u>	<u>Sun Pharmaceuticals</u>	workplace safety or health violation	2016	OSHA	<u>\$9,620</u>
<u>IDEXX DISTRIBUTION, INC.</u>	<u>IDEXX Laboratories</u>	workplace safety or health violation	2017	OSHA	<u>\$9,600</u>
<u>ROCHE MOLECULAR SYSTEMS INC</u>	<u>Roche</u>	workplace safety or health violation	2002	OSHA	<u>\$9,225</u>
<u>K V PHARMACEUTICAL</u>	<u>KV Pharmaceutical</u>	workplace safety or health violation	2000	OSHA	<u>\$9,000</u>
<u>MILLIPORE, CIDRA, INC.</u>	<u>Merck KGaA (EMD)</u>	environmental violation	2000	EPA	<u>\$9,000</u>
<u>Global Strategic Partners LLC</u>	<u>Celgene</u>	motor vehicle safety violation	2015	FMCSA	<u>\$8,680</u>
<u>Cody Laboratories Inc</u>	<u>Lannett Co.</u>	motor vehicle safety violation	2018	FMCSA	<u>\$8,510</u>
<u>NOVOZYMES BIOLOGICALS, INC.</u>	<u>Novo A/S</u>	environmental violation	2016	EPA	<u>\$8,400</u>
<u>EMD Chemicals, Inc.</u>	<u>Merck KGaA (EMD)</u>	economic sanction violation	2007	OFAC	<u>\$8,250</u>
<u>ALEXION PHARMACEUTICALS, INC.</u>	<u>Alexion Pharmaceuticals</u>	workplace safety or health violation	2012	OSHA	<u>\$8,213</u>
<u>Schering-Plough</u>	<u>Merck</u>	labor relations violation	2007	NLRB	<u>\$8,200</u>
<u>E.R. Squibb & Sons LLC</u>	<u>Bristol-Myers Squibb</u>	environmental violation	2009	EPA	<u>\$8,125</u>
<u>SHIRE CORP</u>	<u>Takeda Pharmaceutical</u>	workplace safety or health violation	2002	OSHA	<u>\$8,100</u>
<u>SIGMA-ALDRICH INC</u>	<u>Merck KGaA (EMD)</u>	aviation safety violation	2013	FAA	<u>\$8,000</u>
<u>MERCK & CO BRANDBURG FARMS</u>	<u>Merck</u>	environmental violation	2003	EPA	<u>\$8,000</u>
<u>ACTAVIS ELIZABETH LLC</u>	<u>Teva Pharmaceutical Industries</u>	environmental violation	2006	EPA	<u>\$8,000</u>
<u>TEVA BRANDED PHARM/MALVERN</u>	<u>Teva Pharmaceutical Industries</u>	environmental violation	2003	EPA	<u>\$8,000</u>
<u>Janssen Pharmaceutica Inc.</u>	<u>Johnson & Johnson</u>	environmental violation	2004	EPA	<u>\$7,700</u>
<u>EMD CHEMICALS INCORPORATED</u>	<u>Merck KGaA (EMD)</u>	motor vehicle safety violation	2006	FMCSA	<u>\$7,614</u>
<u>BRISTOL-MYERS SQUIBB CO</u>	<u>Bristol-Myers Squibb</u>	environmental violation	2001	EPA	<u>\$7,600</u>

<u>Company</u>	<u>Parent</u>	<u>Primary Offense Type</u>	<u>Year</u>	<u>Agency</u>	<u>Penalty Amount</u>
<u>ACTAVIS ELIZABETH LLC</u>	<u>Teva Pharmaceutical Industries</u>	environmental violation	2006	EPA	\$5,800
<u>GILEAD SCIENCES</u>	<u>Gilead Sciences</u>	workplace safety or health violation	2000	OSHA	\$5,700
<u>PHIBRO-TECH, INC.</u>	<u>Phibro Animal Health</u>	railroad safety violation	2009	FRA	\$5,650
<u>NOVOZYMES BIOLOGICALS, INC.</u>	<u>Novo A/S</u>	workplace safety or health violation	2003	OSHA	\$5,610
<u>ICN Pharmaceuticals, Inc</u>	<u>Bausch Health</u>	economic sanction violation	2004	OFAC	\$5,500
<u>ETHICON ENDO SURGERY</u>	<u>Johnson & Johnson</u>	aviation safety violation	2010	FAA	\$5,500
<u>REGENERON PHARMACEUTICALS</u>	<u>Regeneron Pharmaceuticals</u>	environmental violation	2010	EPA	\$5,500
<u>AMGEN SF, LLC</u>	<u>Amgen</u>	workplace safety or health violation	2013	OSHA	\$5,400
<u>GLAXOSMITHKLINE COLLEGEVILLE FAC</u>	<u>GlaxoSmithKline</u>	environmental violation	2017	EPA	\$5,259
<u>ETHICON INC.</u>	<u>Johnson & Johnson</u>	environmental violation	2009	EPA	\$5,250
<u>Aceto Agricultural Chemical Corporation</u>	<u>Aceto</u>	environmental violation	2014	EPA	\$5,100
<u>SIGMA-ALDRICH, INC</u>	<u>Merck KGaA (EMD)</u>	aviation safety violation	2017	FAA	\$5,000
<u>TEVA SICOR</u>	<u>Teva Pharmaceutical Industries</u>	workplace safety or health violation	2005	OSHA	\$5,000
<u>TEVA PHARMACEUTICAL</u>	<u>Teva Pharmaceutical Industries</u>	workplace safety or health violation	2000	OSHA	\$5,000
<u>PERRIGO COMPANY OF SOUTH CAROLINA</u>	<u>Perrigo</u>	workplace safety or health violation	2008	OSHA	\$5,000
<u>EM INDUSTRIES, INC.</u>	<u>Merck KGaA (EMD)</u>	environmental violation	2000	EPA	\$5,000
<u>NOVO NORDISK BIOCHEM, N.A., INC.</u>	<u>Novo A/S</u>	environmental violation	2000	EPA	\$5,000
<u>ALPHARMA, INC.</u>	<u>Zoetis</u>	environmental violation	2000	EPA	\$5,000
<u>PHIBRO-TECH, INC.</u>	<u>Phibro Animal Health</u>	environmental violation	2000	EPA	\$5,000
<u>PHARMACIA & UPJOHN (P&U) - KAPI AND EMU</u>	<u>Pfizer</u>	environmental violation	2004	EPA	\$5,000
<u>Aldrich Chemical</u>	<u>Merck KGaA (EMD)</u>	environmental violation	2018	EPA	\$5,000
<u>Aldrich Chemical, LLC</u>	<u>Merck KGaA (EMD)</u>	environmental violation	2018	EPA	\$5,000
<u>ABBOTT CARDIOVASCULAR SYSTEMS</u>	<u>Abbott Laboratories</u>	environmental violation	2009	EPA	\$5,000
<u>NOVARTIS CONSUMER HEALTH, INC.</u>	<u>Novartis</u>	environmental violation	2000	EPA	\$5,000
<u>BRISTOL-MYERS SQUIBB COMPANY</u>	<u>Bristol-Myers Squibb</u>	environmental violation	2000	EPA	\$5,000
<u>Johnson & Johnson</u>	<u>Johnson & Johnson</u>	environmental violation	2004	EPA	\$5,000