First Regular Session Seventy-second General Assembly STATE OF COLORADO

ENGROSSED

LLS NO. R19-1124.01 Jerry Barry x4341

SJR19-010

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SENATE JOINT RESOLUTION 19-010

101 CONCERNING CHANGES TO THE JOINT RULES OF THE SENATE AND

102 HOUSE OF REPRESENTATIVES TO IMPLEMENT CHANGES IN

103 WORKPLACE POLICIES.

Be It Resolved by the Senate of the Seventy-second General
Assembly of the State of Colorado, the House of Representatives
concurring herein:

That in the Joint Rules of the Senate and House of Representatives, Joint Rule No. 36, **amend** (d)(4); and **add** (b)(11) as follows:

<u>36. LOBBYING PRACTICES</u>

8 (b) *Prohibited practices.* No person engaging in lobbying shall:

SENATE Amended Final Rdg May 2, 2019

1 2 3 4		(11)	Gene Polic	ATE THE WORKPLACE HARASSMENT POLICY OF THE ERAL ASSEMBLY OR THE WORKPLACE EXPECTATIONS CY OF THE GENERAL ASSEMBLY ADOPTED PURSUANT DINT RULE NO. 38.
5	(d)	Viola	tion - c	complaint.
6 7 8 9 10 11		(4)	(A)	EXCEPT AS PROVIDED IN SUBSECTION $(d)(4)(B)$ OF THIS JOINT RULE, all proceedings of the committee shall be public. The accused shall be entitled to be present during the proceedings. The committee members shall submit a report to the Executive Committee.
12 13 14 15 16 17 18 19 20			(B)	IF THE COMPLAINT IS BASED ON SUBSECTION (b)(11) OF THIS JOINT RULE, THE COMMITTEE SHALL HOLD ALL MEETINGS IN EXECUTIVE SESSION, AND THE COMMITTEE MEMBERS SHALL NOT RELEASE ANY INFORMATION ABOUT THE MEETINGS OR THE TESTIMONY RECEIVED EXCEPT AS PERMITTED UNDER THE GENERAL ASSEMBLY'S WORKPLACE HARASSMENT POLICY ADOPTED PURSUANT TO JOINT RULE 38.
21 22	Repr			e Joint Rules of the Senate and the House of peal and reenact Joint Rule No. 38 as follows:
23 24 25				WORKPLACE HARRASSMENT ND EXPECTATIONS POLICIES
26 27 28 29 30 31 32 33 34 35	(a)	MAIN AND RELA TOWA PART ELEC HONC	TAININ PROMC TIONSH ARD LE IES. TH TED OF DR AND ENS OF	. THE GENERAL ASSEMBLY IS COMMITTED TO G A WORKPLACE THAT ENCOURAGES MUTUAL RESPECT DTES RESPECTFUL, PROFESSIONAL, AND CONGENIAL HIPS AND THAT IS FREE FROM HARASSMENT FROM OR EGISLATORS, LEGISLATIVE EMPLOYEES, OR THIRD E CITIZENS OF THE STATE OF COLORADO EXPECT THEIR FICIALS TO BEHAVE IN A MANNER BEFITTING THE PRIVILEGE THEY HOLD AS REPRESENTATIVES OF THE COLORADO. THE GENERAL ASSEMBLY HOLDS THE FITS COMMUNITY AND LEGISLATORS, IN PARTICULAR,

1TO A HIGHER STANDARD OF CONDUCT THAN SIMPLY AVOIDING2UNLAWFUL HARASSMENT. WHEN DISRESPECTFUL BEHAVIOR AND3HARASSMENT PERSIST, EVEN WHEN NOT UNLAWFUL OR DIRECTED4AT AN INDIVIDUAL BECAUSE THAT PERSON IS A MEMBER OF A5PROTECTED CLASS, IT DIMINISHES THE DIGNITY AND STATURE OF6THE INSTITUTION OF THE GENERAL ASSEMBLY AND CAN LEAD TO7UNLAWFUL HARASSMENT.

8 (b) Workplace Harassment Policy.

- 9 THE OFFICE OF LEGISLATIVE WORKPLACE RELATIONS IN (1)10 THE OFFICE OF LEGISLATIVE LEGAL SERVICES, IN 11 CONSULTATION WITH THE SECRETARY OF THE SENATE, THE 12 CHIEF CLERK OF THE HOUSE OF REPRESENTATIVES, THE 13 STATE AUDITOR, AND THE DIRECTORS OF THE NONPARTISAN 14 STAFF AGENCIES OF THE GENERAL ASSEMBLY, SHALL 15 DEVELOP A WORKPLACE HARASSMENT POLICY. THE 16 WORKPLACE HARASSMENT POLICY IS APPLICABLE TO ALL 17 LEGISLATORS; LEGISLATIVE EMPLOYEES, EXCEPT TO SUCH 18 LEGISLATIVE EMPLOYEES WHO ARE SUBJECT TO THE STATE 19 PERSONNEL SYSTEM; AND THIRD PARTIES. THE POLICY, AND 20 ANY AMENDMENT TO THE POLICY, IS SUBJECT TO THE 21 APPROVAL OF THE EXECUTIVE COMMITTEE OF THE 22 LEGISLATIVE COUNCIL.
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- (2) THE WORKPLACE HARASSMENT POLICY MUST INCLUDE:
 - (A) BOTH A FORMAL AND INFORMAL COMPLAINT RESOLUTION PROCESS;
- 26(B)THAT, EXCEPT AS NECESSARY TO COMPLETE AN
INVESTIGATION AND PROVIDE ANY
ACCOMMODATIONS, THE IDENTITY OF A28ACCOMMODATIONS, THE IDENTITY OF A29COMPLAINANT MUST NOT BE DISCLOSED TO ANYONE,
INCLUDING MEMBERS OF A WORKPLACE31HARASSMENT COMMITTEE AND LEADERSHIP;
- 32(C)THAT THE INFORMATION OBTAINED DURING THE33INFORMAL COMPLAINT PROCESS OR THE FORMAL34COMPLAINT PROCESS REMAINS CONFIDENTIAL,35EXCEPT AS AUTHORIZED IN THE WORKPLACE36HARASSMENT POLICY;

1 2 3 4 5 6 7	<u>(D)</u>	THAT, EXCEPT AS SPECIFICALLY ALLOWED UNDER THE WORKPLACE HARASSMENT POLICY, LEGISLATORS AND LEGISLATIVE EMPLOYEES SHALL KEEP ALL MATTERS RELATING TO AN ALLEGED VIOLATION OF THE POLICY CONFIDENTIAL AND THIRD PARTIES SHALL KEEP SUCH MATTERS CONFIDENTIAL TO THE EXTENT PERMITTED BY LAW;
8 9 10	<u>(E)</u>	THAT BOTH COMPLAINANTS AND RESPONDENTS BE GIVEN EQUAL ACCESS TO INFORMATION AND SERVICES;
11 12 13 14 15 16 17 18 19	<u>(F)</u>	THAT A VIOLATION UNDER THE POLICY MUST BE FOUNDED ON A CLAIM OF HARASSMENT BASED ON AN INDIVIDUAL'S DISABILITY, RACE, CREED, COLOR, SEX, SEXUAL ORIENTATION, RELIGION, AGE FORTY AND OLDER, NATIONAL ORIGIN, MILITARY STATUS, GENETIC INFORMATION OR ANCESTRY, OR ANY OTHER CLASS PROTECTED BY STATE OR FEDERAL LAW OR ON AN ACT OF RETALIATION UNDER THE POLICY;
20 21 22 23 24 25 26 27 28	<u>(G)</u>	THAT FACTUAL DETERMINATIONS BE FOUND BY A PREPONDERANCE OF THE EVIDENCE STANDARD AND THAT VIOLATIONS BE BASED ON BOTH A SUBJECTIVE STANDARD THAT THE COMPLAINANT FOUND THE ACTION OR ACTIONS TO BE OFFENSIVE AND AN OBJECTIVE STANDARD THAT A REASONABLE PERSON IN THE COMPLAINANT'S POSITION WOULD HAVE FOUND THE ACTION OR ACTIONS TO BE OFFENSIVE; AND
29 30 31 32 33 34 35 36	<u>(H)</u>	IF, FOLLOWING A FORMAL INVESTIGATION, IT IS FOUND THAT A LOBBYIST HAS VIOLATED THE WORKPLACE HARASSMENT POLICY, AN EXECUTIVE SUMMARY OF THE FINDINGS OF ANY INVESTIGATION MUST BE FORWARDED TO THE EXECUTIVE COMMITTEE OF THE LEGISLATIVE COUNCIL, WHO SHALL TREAT THE SUMMARY AS A COMPLAINT UNDER JOINT RULE 36.

37 (c) Workplace Expectations Policy.

1		(1)		OFFICE OF LEGISLATIVE WORKPLACE RELATIONS IN
2			THE	OFFICE OF LEGISLATIVE LEGAL SERVICES, IN
3				JLTATION WITH THE SECRETARY OF THE SENATE, THE
4			CHIEF	CLERK OF THE HOUSE OF REPRESENTATIVES, THE
5			STATE	E AUDITOR, AND THE DIRECTORS OF THE NONPARTISAN
6			STAFF	AGENCIES OF THE GENERAL ASSEMBLY, SHALL
7			DEVEI	LOP A WORKPLACE EXPECTATIONS POLICY. THE
8			WORK	XPLACE EXPECTATIONS POLICY IS APPLICABLE TO ALL
9			LEGIS	LATORS; LEGISLATIVE EMPLOYEES, EXCEPT SUCH
10				LATIVE EMPLOYEES WHO ARE SUBJECT TO THE STATE
11			PERSC	NNEL SYSTEM; AND THIRD PARTIES. THE POLICY, AND
12				AMENDMENT TO THE POLICY, IS SUBJECT TO THE
13				OVAL OF THE EXECUTIVE COMMITTEE OF THE
14				LATIVE COUNCIL.
11			LLUIS	EATIVE COONCIE.
15		(2)	THE V	VORKPLACE EXPECTATIONS POLICY MUST INCLUDE:
16			(A)	AN INFORMAL COMPLAINT RESOLUTION PROCESS;
17			<u>(B)</u>	THAT, EXCEPT AS NECESSARY TO COMPLETE THE
18				INFORMAL COMPLAINT RESOLUTION PROCESS AND
19				PROVIDE ANY ACCOMMODATIONS, THE IDENTITY OF
20				A COMPLAINANT MUST NOT BE DISCLOSED TO
21				ANYONE, INCLUDING MEMBERS OF A WORKPLACE
22				HARASSMENT COMMITTEE AND LEADERSHIP;
23			(C)	THAT THE INFORMATION OBTAINED DURING THE
24			<u> (</u>	INFORMAL COMPLAINT PROCESS REMAINS
25				CONFIDENTIAL, EXCEPT AS AUTHORIZED IN THE
26				WORKPLACE HARASSMENT POLICY; AND
20				
27			(D)	EXCEPT AS SPECIFICALLY ALLOWED UNDER THE
28			<u>(D)</u>	WORKPLACE EXPECTATIONS POLICY, LEGISLATORS
29				AND LEGISLATIVE EMPLOYEES SHALL KEEP ALL
30				MATTERS RELATING TO AN ALLEGED VIOLATION OF
31				THE POLICY CONFIDENTIAL AND THIRD PARTIES
32				SHALL KEEP SUCH MATTERS CONFIDENTIAL TO THE
33				EXTENT PERMITTED BY LAW.
55				DATEAU I ERMITTED DI LAW.
34	(d)	Perso	nnel M	lanuals and Review.

35 (1) FOLLOWING APPROVAL BY THE EXECUTIVE COMMITTEE OF

1 2 3 4 5 6			THE LEGISLATIVE COUNCIL, THE WORKPLACE HARASSMENT POLICY AND THE WORKPLACE EXPECTATIONS POLICY MUST BE INCLUDED IN EACH AGENCY'S OR HOUSE'S PERSONNEL MANUAL OR EQUIVALENT DOCUMENT AND POSTED PROMINENTLY ON THE GENERAL ASSEMBLY'S HOME PAGE ON THE INTERNET.
7 8 9 10 11 12 13 14		(2)	The Office of Legislative Workplace Relations shall annually review the Policies and, after consultation with the secretary of the Senate, the chief clerk of the House of Representatives, the State Auditor, and the directors of the nonpartisan staff agencies of the General Assembly, may recommend changes to the Policies to the Executive Committee.
15	(e)	Confi	ïdentiality.
16 17 18 19 20 21 22		(1)	EXCEPT AS PERMITTED UNDER THE WORKPLACE HARASSMENT POLICY OR THE WORKPLACE EXPECTATIONS POLICY, ALL DOCUMENTS RELATED TO ANY INQUIRY OR COMPLAINT UNDER EITHER OF THE POLICIES ARE CONFIDENTIAL AND ARE NOT SUBJECT TO PUBLIC INSPECTION UNDER PART 2 OF ARTICLE 72 OF TITLE 24, COLORADO REVISED STATUTES.
23 24 25 26 27		(2)	MEETINGS OF A WORKPLACE HARASSMENT COMMITTEE AT WHICH A COMPLAINT, INVESTIGATION, OR REMEDIAL ACTION IS DISCUSSED MAY BE CONDUCTED IN EXECUTIVE SESSION AS DESCRIBED IN SECTION 24-6-402 (3)(a)(III), COLORADO REVISED STATUTES.
28	(f)	Train	ning.
29 30 31 32 33 34 35 36		(1)	PRIOR TO THE COMMENCEMENT OF EACH REGULAR SESSION OF THE GENERAL ASSEMBLY, THE OFFICE OF LEGISLATIVE WORKPLACE RELATIONS SHALL PROVIDE TRAINING ON THE WORKPLACE EXPECTATIONS POLICY AND THE WORKPLACE HARASSMENT POLICY TO NEWLY ELECTED MEMBERS. THE OFFICE SHALL PROVIDE ANNUAL TRAINING ON THE POLICIES TO RETURNING MEMBERS OF THE GENERAL ASSEMBLY. A LIST OF ANY MEMBERS WHO DO NOT ATTEND THE ANNUAL

1			TRAINING SHALL BE PUBLICLY AVAILABLE.
2 3 4 5 6 7		(2)	PRIOR TO OR SOON AFTER THE COMMENCEMENT OF EACH REGULAR SESSION OF THE GENERAL ASSEMBLY, THE OFFICE SHALL PROVIDE MANDATORY TRAINING ON THE POLICIES TO ALL NEWLY EMPLOYED LEGISLATIVE EMPLOYEES. THE OFFICE SHALL PROVIDE ANNUAL, MANDATORY TRAINING ON THE POLICIES TO EMPLOYEES OF THE GENERAL ASSEMBLY.
8 9 10 11 12		(3)	PRIOR TO OR SOON AFTER THE COMMENCEMENT OF EACH REGULAR SESSION OF THE GENERAL ASSEMBLY, THE OFFICE SHALL PROVIDE VOLUNTARY TRAINING ON THE POLICIES TO THIRD PARTIES, WHO ARE ENCOURAGED TO ATTEND SUCH TRAINING.
13	(g)	Defin	itions. As used in this Joint Rule 38:
13 14 15 16 17 18 19 20	(g)	Defin (1)	The Office of the State Auditor , the Senate, or the House of Representatives, or any legislative aide to a member, legislative intern, or volunteer staff person.